

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

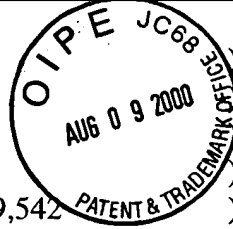
In re Application Of:

Ralph W. BLUME et al.

Application Number: 09/559,542

Filed: April 28, 2000

For: GUAIFENESIN SUSTAINED RELEASE FORMULATION AND TABLETS



Group Art Unit: 1614

Examiner: Not Yet Known

#61/2  
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AUG 23 2000  
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REQUEST FOR CORRECTED APPLICATION FILING RECEIPT

ATTENTION: OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request that the U.S. Patent and Trademark Office ("PTO") issue a Corrected Filing Receipt in the above-captioned application correcting the middle initial of the second inventor's name. Specifically, the second inventor's middle initial is "D." rather than "W." and thus should be corrected as follows:

"Robert W. Davis" should be --Robert D. Davis--.

A copy of the Filing Receipt with the correction marked in red is attached.

Support for the correction can be found in the executed Declaration And Power Of Attorney For Patent Application attached to the "Response To Notice To File Missing Parts Of Nonprovisional Application And Submission of Verified Statement Claiming Small Entity Status" which was filed with the PTO on July 25, 2000.

Accordingly, it is respectfully submitted that this request for correction is proper and a Corrected Filing Receipt should be issued by the PTO.

No fee is believed necessary since it is believed that this request is necessitated due to PTO error. However, in the event any fee is deemed appropriate by the PTO to consider this request, please charge any such fee to the undersigned's Deposit Account No. 02-0375.

Respectfully submitted,

BAKER BOTTS L.L.P.

Dated: August 9, 2000  
Baker Botts L.L.P.  
The Warner; Suite 1300  
1299 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004-2400  
(202) 639-7700 (telephone)  
(202) 639-7890 (facsimile)  
RLT/sdl

By: \_\_\_\_\_



Rodger L. Tate  
Registration No. 27,399

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**FILING RECEIPT**

\*OC00000005289321\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

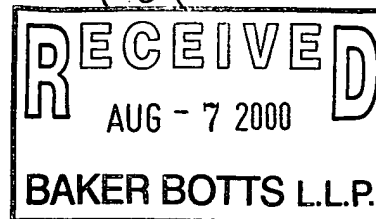
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/559,542	04/28/2000	1614	518	065910.0102	11	32	3

Baker Botts LLP  
The Warner  
1299 Pennsylvania Avenue N W  
Washington, DC 20004-2400

Date Mailed: 08/02/2000

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**Ralph W. Blume, Fort Worth, TX ;  
Robert W. Davis, Arlington, TX ;  
Donald Jeffrey Keyser, Southlake, TX ;**DOCKETED****Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 06/27/2000

**\*\* SMALL ENTITY \*\*****Title**

Guaifenesin sustained release formulation and tablets

**Preliminary Class**

514

Data entry by : HARDY, EUGENIA

Team : OIPE

Date: 08/02/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**FILE COPY**

Bib Data Sheet

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/559,542	<b>FILING DATE</b> 04/28/2000 <b>RULE</b> _	<b>CLASS</b> 514	<b>GROUP ART UNIT</b> 1614	<b>ATTORNEY DOCKET NO.</b> 065910.0102
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**APPLICANTS**  
Ralph W. Blume, Fort Worth, TX ;  
Robert D. Davis, Arlington, TX ;  
Donald Jeffrey Keyser, Southlake, TX ;

**\*\* CONTINUING DATA \*\*\*\*\***

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***  
\*\* 06/27/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 11	<b>TOTAL CLAIMS</b> 32	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**  
Baker Botts LLP  
The Warner  
1299 Pennsylvania Avenue N W  
Washington ,DC 20004-2400

**TITLE**  
Guaifenesin sustained release formulation and tablets

<b>FILING FEE RECEIVED</b> 518	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

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